



## Registration Form

*Applicable to registrable corporations under the Financial Sector (Collection of Data) Act 2001.*

**1. Name of corporation**

Registered name.....

Trading name.....

**2. Corporation's ABN**

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**3. Date of incorporation** \_\_/\_\_/\_\_\_\_ (DD/MM/YYYY)

**4. Place of incorporation**

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**5. Date on which the corporation became registrable corporation** (please refer to section 9(2) of the *Financial Sector (Collection of Data) Act 2001*)

\_\_/\_\_/\_\_\_\_ (DD/MM/YYYY)

**6. Value of Total Assets**

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Date last reported \_\_/\_\_/\_\_\_\_ (DD/MM/YYYY)

**7. Value of the principal amounts outstanding on loans or other financing**

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Date last reported \_\_/\_\_/\_\_\_\_ (DD/MM/YYYY)

**8. Annual Balance Date** \_\_/\_\_(DD/MM)

**9. Address of the registered office**

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Suburb.....State.....Postcode.....

Contact number .....

**10. Postal address**

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.....

Suburb.....State.....Postcode.....

**11. Chief Executive Officer or the most senior person**

Name (Mr/Ms/Mrs): .....

Position: .....

Phone: .....

Email: .....

**12. Chief Financial Officer / Financial Controller**

Name (Mr/Ms/Mrs): .....

Position: .....

Phone: .....

Email: .....

**13. Reporting contact 1**

Name (Mr/Ms/Mrs): .....

Position: .....

Phone: .....

Email: .....

**14. Reporting contact 2**

Name (Mr/Ms/Mrs): .....

Position: .....

Phone: .....

Email: .....

**15. This corporation is**

- a foreign corporation
- a trading corporation formed within the limit of Australia
- a financial corporation formed within the limits of Australia

**16. Does the corporation originate or intend to originate loans to third party entities?**

**If yes, does the corporation provide or intend to provide**

- Finance to private and/or public sector business
- Secured finance to individuals for owner occupied housing
- Lease finance to private and/or public enterprises and/or individuals
- Finance to individuals for personal (non-business) use and/or personal investment purposes

**17. Has the corporation and/or its related entities securitised any lending assets in the past year?**

Yes

No

Note: If yes, please provide APRA with the combined total assets of the corporation and its related entities:

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**18. If the answer to question 17 is 'No', does the corporation extend credit and have deposit-like liabilities as defined by broad money, namely; wholesale deposits; short-term debt securities; and bill acceptances?**

Yes

No

**19. Which of the followings best describes the corporation?**

Operates primarily in wholesale markets, borrowing from, and lending to, large corporations and government agencies

Predominantly borrow within a corporation group and provide finance by lending within their corporation group

Engages in non-depository financing – those units that do not incur deposit liabilities and are mainly engaged in providing credit, including units primarily engaged in the origination of loans for the purpose of securitising.

Securitiser – special purpose vehicles (SPVs) which hold pooled assets including residential mortgages, commercial property loans and credit card debt

Asset Investing – those units engaged in investing money on their own account in financial and non-financial assets such as shares, bonds, financial derivatives, residential and commercial property

Other Registered Financial Corporations – involved in the provision of finance that are not mentioned above

**20. Particulars of the principal kinds of finance ordinarily provided by the corporation (please provide detailed information and attach any additional supporting material)**

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**21. Is the sole or principal activity provision of finance to related parties?**

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**22. Particulars of the principal methods by which the corporation ordinarily borrows money**

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**23. List all corporations related to registrable corporation (e.g. Parent, Subsidiary)**

Name 1: .....  
Address: .....  
.....  
Relationship with registrable corporation: .....  
Is the entity a Registered Financial Corporation? .....

Name 2: .....  
Address: .....  
.....  
Relationship with registrable corporation: .....  
Is the entity a Registered Financial Corporation? .....

Name 3: .....  
Address: .....  
.....  
Relationship with registrable corporation: .....  
Is the entity a Registered Financial Corporation? .....

**24. If applicable, list the related registered entity already reporting to APRA, with whom you would consider reporting a single return. Please nominate the RFC to report to APRA.**

- Please keep in mind the guidance in APRA Reporting Practice Guide 701 (RPG701) in regards to consolidation of RFC reporting for securitisers; specifically that SPVs should not be consolidated within an RFC reporting group, even if they are owned and/or controlled by the same parent entity. All positions and transactions with SPVs owned and/or controlled by the same parent entity should be treated as positions or transactions with related parties.

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**25. Particulars of direct involvement in a securitisation arrangement resulting in issuing independently rated asset backed securities**

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**For Official Use Only**

**26. Declaration**

**(This is a compulsory item and needs to be completed by a senior officer of the registrable corporation)**

**Please note if:**

- **a registered entity changes its name or the address of its registered office; or**
- **a change takes place in the principal methods by which a registered entity ordinarily borrows moneys or in the principal kinds of finance ordinarily provided by a registered entity; or**
- **a corporation that is related to a registered entity ceases to be so related; or**
- **a corporation becomes related to a registered entity; the registered entity must, before the end of the period of 60 days after the occurrence of the event concerned, notify APRA in writing accordingly.**

This form was completed by

(Print name)

(Signature)

(Phone Number)

(Date)